

The physical properties of AGN host galaxies as a probe of SMBH feeding mechanisms

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Abstract

Using a state-of-the-art semi analytic model (SAM) for galaxy formation, we have investigated the statistical effects of assuming two different mechanisms for triggering AGN activity on the properties of AGN host galaxies. We have considered a first accretion mode where AGN activity is triggered by disk instabilities (DI) in isolated galaxies, and a second feeding mode where galaxy mergers and fly-by events (interactions, IT) are responsible for producing a sudden destabilization of large quantities of gas, causing the mass inflow onto the central SMBH. The effects of the inclusion of IT and DI modes in our SAM have been studied and compared with observations separately, in order to single out the regimes in which they might be responsible for triggering AGN activity. We obtained the following results: i) the predictions of our model concerning the stellar mass functions of AGN hosts point out that both the DI and IT modes are able to account for the observed abundance of AGN host galaxies with $M_* \lesssim 10^{11} M_\odot$; for more massive hosts the DI scenario predicts a much lower space density than the IT model in every redshift bin, lying below the observational estimates for redshift $z > 0.8$. ii) The analysis of the color-magnitude diagram (CMD) of AGN hosts for redshift $z < 1.5$ can provide a good observational test to effectively discriminate between the DI and IT mode, since DIs are expected to yield AGN host galaxy colors skewed towards bluer colors, while in the IT scenario the majority of hosts are expected to reside in the red sequence. iii) While both IT and DI scenarios can account for AGN triggered in main sequence or starburst galaxies, DIs fail in triggering AGN activity in passive galaxies. The lack of DI AGN in passive hosts is rather insensitive to changes in the model describing the DI mass inflow, and it is mainly caused by the criterion for the onset of disk instabilities included in our SAM. iv) The two modes are characterized by a different duration of the AGN phase, with DIs lasting even on time scales \sim Gyr, much longer with respect to the IT scenario where the galaxies interaction sets the duration of the burst phase around $\sim 10^7 - 10^8$ yr. v) The scatter of the $SFR - L_{bol}$ relation could represent another crucial diagnostics to discriminate between the two AGN triggering modes, since the DI scenario predicts an appreciably lower scatter (especially at low-intermediate AGN luminosities) of the relation than the IT scenario. vi) Disk instabilities are not able to account for the observed fraction of AGN in groups for $z \lesssim 1$ and clusters for $z \lesssim 0.7$, while the IT scenario provides a good match to observational data.

Key words. galaxies: active – galaxies: evolution – galaxies: fundamental parameters – galaxies: interactions – galaxies: starburst

1. Introduction

Since their first discovery, many steps towards the understanding of the physics of Active Galactic Nuclei (AGN) have been made. At present, we know that the masses of supermassive BHs in the local Universe scale with the structural parameters of their host bulges (e.g. Kormendy & Richstone 1995, Magorrian et al. 1998, Gebhardt et al. 2000, Ferrarese & Merritt 2000, Marconi & Hunt 2003, Häring & Rix 2004, McConnell & Ma 2013; for a recent review see Kormendy & Ho 2013). We realized that the growth of BHs is mostly due to accretion of matter during AGN phases (Soltan 1982). We observe a luminosity-dependent evolution of the AGN population (Fiore 2003, La Franca 2005, Ueda 2003, 2014), with low-luminosity AGN reaching the peak in their space density at lower redshift than higher luminosity AGN, which is similar to the downsizing behaviour of star forming galaxies (Cowie et al. 1996). Given such a framework, the next step is to understand the triggering mechanisms responsible for BH accretion, and their relation with the cosmological evolution of galaxies.

Galaxy major mergers are widely believed to be the main triggering mechanism for bright QSOs (AGN with bolometric luminosities $L_{bol} \gtrsim 10^{46}$ erg s $^{-1}$) on the basis of both ob-

servational evidences (Disney et al. 1995; Bahcall et al. 1997; Kirhakos et al. 1999; Hutchings 1987; Yates et al. 1989; Villar-Martín 2010; 2012; Treister et al. 2012) and theoretical arguments (Hernquist 1989; Barnes & Hernquist 1991, 1996; Mihos & Hernquist 1994, 1996; Di Matteo et al. 2005; Cox et al. 2008). However, a number of recent studies on the star forming properties (Lutz et al. 2010; Shao et al. 2010; Rosario et al. 2012, Mullaney et al. 2012, Santini et al. 2012) and on the morphology of AGN hosts (Salucci et al. 1999; Grogin et al. 2005; Pierce et al. 2007; Georgakakis et al. 2009; Cisternas et al. 2010; Villforth et al. 2014; Rosario et al. 2013a; Silverman et al. 2011; Kocevski et al. 2012) indicates that more moderate levels of nuclear activity (Seyfert-like galaxies with $L_{bol} \lesssim 10^{46}$ erg s $^{-1}$) can be associated with galaxies undergoing secular evolution rather than major mergers, suggesting that also internal processes, like disk instabilities in isolated galaxies, could play a relevant role in triggering AGN activity. Interestingly, it seems that the different triggering mechanisms are closely linked to different physical processes driving the star formation activity in the host galaxies, with starburst galaxies being induced by major mergers, while internal processes being responsible for driving typical star forming galaxies on the galaxy main sequence (Noeske et al. 2007; Elbaz et al. 2007; Daddi et al. 2007, 2009; Santini et al. 2009).

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A detailed statistical study about the role of different triggering mechanisms and their relation with the properties of the host galaxies can be performed using cosmological models of galaxy formation. Analytical descriptions of both galaxy interactions (i.e. merging events and tidal interactions, hereafter IT) and disk instability (hereafter DI) as physical triggers for AGN activity have been implemented in several semi-analytic models (SAM, Croton 2006; Bower et al. 2006; Hirschman et al. 2012, Fanidakis et al. 2012) or in N-body simulations as sub-grid physics (Springel et al. 2005; Di Matteo et al. 2005; Li et al. 2007; Hopkins et al. 2010).

The results of these studies depend on the particular analytical prescriptions assumed for the AGN feeding modes. As concerns the IT scenario, several authors have worked out analytical or phenomenological descriptions of the mass inflow induced by galaxy interactions (see, e.g., Makino & Hut 1997, Cavaliere & Vittorini 2000), with these models having been calibrated and tested against aimed hydrodynamical merger simulations (Robertson et al. 2006a,b; Cox et al. 2006; Hopkins et al. 2007b). A different situation holds for the DI scenario: so far, the inflows driven by disk instability have been implemented into SAMs only through simplified *ansatz* concerning the fate of disk gas and stars when the disk becomes unstable (e.g. Hirshman & Somerville 2012; Fanidakis et al. 2012), due to the lack of an accurate analytic theory predicting gas inflow rates in unstable stellar-plus-gas galaxy disks. Recently, however, a step forward in the description of gas inflow due to disk instabilities into SAMs has been presented by Menci et al. (2014, hereafter M14). In M14 we included the Hopkins & Quataert (2011, HQ11 hereafter) model for disk instability in an update version of the Rome SAM of galaxy formation (Menci et al., 2006 2008). The HQ11 model is an analytic model that attempts to account for the physics of angular momentum transport and gas inflow triggered by disk instabilities in realistic stellar-plus-gas systems, following the inflow from galactic scales (≈ 1 kpc) inward to nuclear scales ($\lesssim 10$ pc) and relating it to nuclear star formation activity. Such a model has been tested by the authors against aimed hydrodynamical simulations, so that at present it constitutes a solid baseline to describe the BH accretion due to disk instabilities.

In M14 we derived the luminosity and redshift intervals where the two different feeding modes might be effective by comparing the AGN luminosity function up to $z = 6$ with that predicted by the SAM assuming only DI as a trigger for AGN activity or assuming a pure interaction-driven feeding mode. We found that, even maximizing the BH accretion rate due to DI, DI cannot provide the observed abundance of high-luminosity QSO with $M_{1450} < -26$ and of high redshift $z \gtrsim 4.5$ AGN with $M_{1450} < -24$. On the other hand, DI constitutes a viable candidate mechanism to fuel AGN with $M_{1450} \gtrsim -26$ up to $z \sim 4.5$, effectively competing with the IT scenario in driving the cosmological evolution of the AGN population. Thus, identifying key observables able to distinguish which AGN fuelling mechanism is dominant in these low-intermediate luminosity and redshift ranges represents a relevant issue in the understanding of AGN triggering mechanisms. In M14 we have shown that, while the comparison with the local black hole mass function has proven to be inconclusive (both IT and DI scenarios show very similar distributions compatible with the present observational constraints), interesting discriminants could be provided by the $M_{BH} - M_*$ relation and by the Eddington ratio (λ) distribution. As for the former, DI and IT show a very different scatter of the relation; although even in this case the predictions of both modes are still compatible with the latest observational constraints and numerical results (e.g. Kormendy & Ho 2013, Sijacki et al. 2014),

this difference in the scatter provides useful insights concerning some interesting features of the two scenarios. Indeed, the tightness that characterizes the $M_{BH} - M_*$ relation obtained with the DI mode has been related to the fact that in such a scenario BH growth and star formation are tightly related, and phases where either \dot{M}_{BH} or \dot{M}_* largely dominate are absent. On the contrary, IT mode is characterized by a larger scatter in the $M_{BH} - M_*$ relation (due to the variance of the merging histories), and phases where only M_{BH} dominates are more likely to be found. This means that we expect a tight relation between AGN luminosity and SFR in the DI scenario and a larger scatter for the same relation in case of IT mode. For the same reason we expect that it would be very unlikely to find DI-driven AGN in passive, red hosts. We note here that similarly to the $M_{BH} - M_*$ relation, also the $M_{BH} - \sigma$ relation (and its scatter) could represent a good diagnostic; however, since SAMs are not N-body simulations and the dispersion velocity is not a direct output of our model, we have chosen not to compare with this observable, since our results would unavoidably depend on the analytical prescriptions assumed to compute the velocity dispersion from some known galaxy parameter. Also the insights provided by the Eddington ratio distributions can be further investigated: since DI model predicts a relatively narrow λ distribution, we expect that more luminous AGN were found in more massive galaxies, and less luminous AGN in lower mass galaxies. Since DIs are not able to reproduce the bright end of the luminosity function, we expect that they also fail in triggering AGN activity in the most massive galaxies. The two models have also a different morphological dependence: DI can be triggered only in gas-rich galaxies with a marked disk component, while IT are less affected by morphology requirements. Since galaxies with different morphologies reside in different environments (for instance, the most massive clusters are mainly populated by ellipticals), an environmental dependence might be found.

The picture depicted above strongly suggests that a systematic study of AGN host galaxy properties might help to further constrain the physical regimes in which DI and IT mode might operate. In this paper we test the DI and IT modes for AGN feeding by comparing the model predictions with the observed color distribution, star forming properties and environmental dependence of AGN hosts. The paper is organized as follow: in Section 2, we recall the basic properties of the Rome SAM for galaxy formation, focusing in particular on the DI and IT mode for AGN feeding included in our SAM. In Section 3 we present and discuss our results concerning several AGN host galaxy properties, obtained by comparing the predictions of our model with the latest available observational data; Section 4 summarizes our most relevant results.

2. The Semi-analytic model

We base on the semi-analytic model (SAM) described in Menci et al. (2006, 2008; see M14 for the latest updates), which connects within a cosmological framework the merging tree of DM halos with the processes involving their baryonic content (such as gas cooling, star formation, supernova feedback, chemical enrichment, etc.). The backbone of the model is constituted by the merging trees of dark matter halos, generated through a Monte Carlo procedure on the basis of the merging rates of the DM halos provided by the Extended Press & Schechter formalism (see Bond et al. 1991; Lacey & Cole 1993). The clumps included into larger DM halos may survive as satellites, or merge to form larger galaxies due to binary aggregations, or coalesce into the central dominant galaxy due to dynamical friction; these pro-

cesses take place over time scales that grow longer over cosmic time, so the number of satellite galaxies increases as the DM host halos grow from groups to clusters (see Menci et al. 2006).

The baryonic processes taking place into each dark matter halo are computed following the standard recipes commonly adopted in SAMs; the gas in the halo, initially set to have a density given by the universal baryon fraction and to be at the virial temperature, cools due to atomic processes and settles into a rotationally supported disk with mass M_c , disk radius R_d and disk circular velocity V_d computed as in Mo, Mao & White (1998). The cooled gas M_c is gradually converted into stars through the processes described in detail in Section 2.2. Part of energy released by SNaE following star formation is fed back onto the galactic gas, thus returning part of the cooled gas to the hot phase.

The luminosity - in different bands - produced by the stellar populations of the galaxies are computed by convolving the star formation histories of the galaxy progenitors with a synthetic spectral energy distribution, which we take from Bruzual & Charlot (2003) assuming a Salpeter IMF.

The model also include a recent description of the tidal stripping of stellar material from satellite galaxies. The treatment adopted here is the same introduced by Henriques & Thomas (2010) in the Munich SAM, to which we refer for further details.

2.1. AGN triggering

In our SAM two different modes for the BH accretion rate are included: i) an interaction-triggered (IT) mode, where the triggering of the AGN activity is *external* and provided by galaxy interactions (including both mergers and fly-by events); ii) a mode where accretion occurs due to disk instabilities (DI), where the trigger is *internal* and provided by the break of the axial symmetry in the distribution of the galactic cold gas. In both cases, a nuclear star formation is associated with the accretion flow triggering the AGN.

The implementation of the IT mode has been described and extensively tested in previous papers (Menci et al. 2006, 2008, Lamastra et al. 2013b), while the DI mode is discussed in depth in M14, which we refer to for more details. Here, we give a basic descriptions for both IT and DI models.

As for the interaction scenario, galaxy interactions in a galactic halo with given circular velocity v_c inside a host halo with circular velocity V occur at a rate

$$\tau_r^{-1} = n_T(V) \Sigma(r_t, v_c, V) V_{rel}(V) \quad (1)$$

where $n_T = 3N_T/4\pi R_{vir}^3$ is the number density of galaxies in the host halo, V_{rel} the relative velocity between galaxies, and Σ the cross section for such encounters, which is given by Saslaw (1985) in terms of the tidal radius r_t associated to a galaxy with given circular velocity v_c (Menci et al. 2004). When two galaxies merge, the surplus of cold gas is added to the galaxy disk of the galaxy resulting from the merging event. Regarding the formation of spheroid, we have recently introduced a more detailed treatment of the transfer of stellar mass to the bulge during major mergers, following Hopkins et al. (2009): particularly, we assume that in mergers with $M_{*1}/M_{*2} \gtrsim 0.2$ only a fraction $1 - f_{gas}$ of the disk mass is transferred to the bulge. The fraction f of cold gas destabilized by any kind of merging or interaction has been worked out by Cavaliere & Vittorini (2000) in terms of the variation Δj of the specific angular momentum $j \approx GM/V_d$

of the gas to read (Menci et al. 2004):

$$f \approx \frac{1}{2} \left| \frac{\Delta j}{j} \right| = \frac{1}{2} \left\langle \frac{M'}{M} \frac{R_d}{b} \frac{V_d}{V_{rel}} \right\rangle \quad (2)$$

where b is the impact parameter, evaluated as the greater of the radius R_d and the average distance of the galaxies in the halo, M' is the mass of the partner galaxy in the interaction, and the average runs over the probability of finding such a galaxy in the same halo where the galaxy with mass M is located. The pre-factor accounts for the probability 1/2 of inflow rather than outflow related to the sign of Δj . We assume that in each interactions 1/4 of the destabilized fraction f feeds the central BH, while the remaining fraction feeds the circumnuclear starbursts (Sanders & Mirabel 1996). Thus, the BH accretion rate is given by

$$\frac{dM_{BH}}{dt} = \frac{1}{4} \frac{f M_c}{\tau_b} \quad (3)$$

where the time scale $\tau_b = R_d/V_d$ is assumed to be the crossing time of the destabilized galactic disk. The duration of an accretion episode, i.e., the timescale for the QSO or AGN to shine, is equal to the crossing time of the destabilized galactic disk (τ_b).

In the case of the DI scenario, disk instability arises in those galaxies whose disk mass exceeds a given critical value:

$$M_{crit} = \frac{v_{max}^2 R_d}{G\epsilon} \quad (4)$$

where v_{max} is the maximum circular velocity, R_d the scale length of the disk and ϵ a parameter in range $\epsilon \sim 0.5 - 0.75$; as for the latter, we adopt the value $\epsilon = 0.75$ (we note that the same value has been adopted also by other SAMs, e.g. Hirschmann et al. 2012). The above critical mass is provided by Efstathiou et al. (1982) on the basis of N-body simulations. For each galaxy, for each timestep of the simulation, we compute the critical mass following eq. 4; if the criterion is satisfied, the perturbation settles down, and we proceed in computing the mass inflow generated by disk instabilities according to the HQ11 model. The final inflow onto the central BH is equal to:

$$\frac{dM_{BH}}{dt} \approx \frac{\alpha f_d^{4/3}}{1 + 2.5 f_d^{-4/3} (1 + f_0/f_{gas})} \times \left(\frac{M_{BH}}{10^8 M_\odot} \right)^{1/6} \left(\frac{M_d(R_0)}{10^9 M_\odot} \right) \left(\frac{R_0}{100 pc} \right)^{-3/2} M_\odot yr^{-1} \quad (5)$$

where

$$f_0 \approx 0.2 f_d^2 \left[\frac{M_d(R_0)}{10^9 M_\odot} \right]^{-1/3} \quad f_{gas} \equiv \frac{M_{gas}(R_0)}{M_d(R_0)} \quad (6)$$

Here M_{BH} is the central black hole mass, f_d is the total disk mass fraction, M_d and M_{gas} the disk and the gas mass calculated in R_0 (we take $R_0 = 100$ pc).

The constant α parametrizes several uncertainties related to some of the basic assumptions of the HQ11 model; its value is not completely freely tunable, but the limits imposed by the HQ11 model set the range of acceptable values to be approximately $\alpha = 0.1 \sim 5$ (see M14 for more details). In spite of this range of validity, in M14 we have considered a maximum value of $\alpha = 10$, in order to assess whether the DI scenario were able to reproduce the bright end of the AGN luminosity function or not, even maximizing the accretion rate onto the central BH; in this paper, however, we can explore also the effects of considering

lower values for the parameter α . We caution that lowering the normalization of the accretion rate strongly affects the predicted AGN luminosity function, reducing even more the luminosity and redshift range where DIs might be able to trigger AGN activity: for instance, taking $\alpha = 2$, the DI scenario is able to reproduce the observed AGN luminosity function only for $z \lesssim 4$ and for luminosity $M_{1450} \gtrsim -23$. Moreover, a lower normalization affects also the AGN duty cycle, which is now lengthened (see Sect. 3.3), resulting in an overproduction of very low-luminosity AGN. For these reasons, in our analysis we have chosen not to further lower the value of the parameter α , considering the case of $\alpha = 2$ as a lower limit for the normalization of the BH accretion rate described by eq. 5. In the following we will show for the DI scenario both the predictions for the case with maximized normalization $\alpha = 10$ (the "fiducial" case, considered also in M14) and the case with $\alpha = 2$, so as to span all the reasonable values of the normalization of the mass inflow predicted by the HQ11 model.

In order to compare our predictions with observations, we convert the BH mass inflows into AGN bolometric luminosity using the following equation:

$$L_{AGN} = \eta c^2 \frac{dM_{BH}}{dt} \quad (7)$$

where dM_{BH}/dt is taken from eq. 3 for the IT mode, and from eq. 5 for the DI scenario. We adopt an energy-conversion efficiency $\eta = 0.1$ (see Yu & Tremaine 2002). The luminosities in the UV and in the X-ray bands are computed from the above expression using the bolometric correction given in Marconi et al. (2004).

Our SAM includes also a detailed treatment of AGN feedback; the feedback model included in our SAM is the blast wave model for AGN feedback developed by Cavaliere et al. (2002) and Lapi et al. (2005) (Menci et al., 2008). According to the model, the supersonic outflows generated by AGN during their luminous phase compress the gas into a blast wave which move outwards, expelling eventually a certain amount of gas from the galaxy. Quantitatively, after the end of AGN activity, the gas content of the galaxy is depleted by a factor $1 - \Delta m/m \approx 1 - \Delta E/2E$, where ΔE is the energy injected by the AGN into the galactic gas during each accretion episodes and E is the gas binding energy of the ISM.

2.2. Star formation rate

In our SAM, different channels of star formation may convert part of the gas content of a galaxy into stars.

- i) First of all, all galaxies form stars with a rate equal to

$$SFR_q = \frac{M_c}{qt_d} \quad (8)$$

where m_c is the mass of cold gas contained in the galaxy, $t_d = r_d/v_d$ is the dynamical time of the disk and q an adjustable parameter chosen to match the Kennicutt (1998) relation. In the following, we will refer to this mode of star formation as quiescent.

- ii) Secondly, galaxy interactions are responsible for triggering an additional SF burst, equal to

$$SFR_{b,I} = p \frac{f M_c}{\tau_b} \quad (9)$$

where f , M_c and τ_b are the same quantities defined in eq. 3. In the case of the IT scenario, the parameter p is taken

to be $p = 3/4$; in fact, while $\sim 1/4$ of the fraction of gas destabilized during the galaxy interaction is accreted onto the central BH, the remaining $\sim 3/4$ is assumed to feed the circumnuclear starburst. In the DI scenario, since galaxy interactions *do not* trigger any AGN activity, all the destabilized gas is assumed to feed the circumnuclear starburst and thus we take $p = 1$.

- iii) In the DI scenario, in addition to the two SF modes mentioned above, since the HQ11 DI model assumes an equilibrium between the mass inflow and star formation, a star formation activity $SFR_{b,D}$ is always connected to the BH accretion rate of eq. 5. The value of the $SFR_{b,D}$ is computed in detail in M14 and reads:

$$SFR_{b,D} = 100 \dot{M}_{BH} \quad (10)$$

The stars produced during the nuclear starbursts are added to the bulge. We note that the value $SFR_{b,D} = 100 \dot{M}_{BH}$ actually represents a lower limit for the burst SFR within the assumptions of the HQ11 model: in fact, in M14 we have shown that this follows from considering the contribution to the star formation rate only due to the inner region of the galaxy where the BH dominates the potential. Considering also the contribution from the outer region would result in a larger value of the $SFR_{b,D}$; however, since the exact value of the latter contribution is uncertain due to its strong dependence on the assumed disk surface density profile, throughout this paper we will consider only the lower limit expressed by eq. 10, discussing when necessary the effects of an increased $SFR_{b,D}$.

3. Results

Following M14, we stress that the aim of this work is to single out the effects of the IT and DI scenario on the properties of AGN hosts; hence, instead of trying to develop a best-fitting model tuning the relative role of the two feeding modes, the effects of the inclusion of IT and DI modes in our SAM have been studied and compared with observations *separately*. In the following sections, we proceed in testing the predictions of the two different feeding modes, focusing on the properties of AGN host galaxies.

3.1. Stellar mass function of AGN host galaxies

The first observable we compare with is the AGN host stellar mass function, which is shown in fig. 1 for AGN with luminosity in the 2-10 keV band $L_X > 10^{43} \text{ erg s}^{-1}$, in four different redshift bins.

The predictions of our SAM are compared with data from Bongiorno et al. (in prep.) which consider a sample of X-ray selected AGN from the XMM-COSMOS field (Hasinger et al., 2007, Brusa et al., 2010). The stellar masses are derived by fitting the observed spectra with a two-component SED fitting model, based on a combination of AGN spectra templates and host-galaxy models, as described in detail in Bongiorno et al. (2012). The AGN host mass function is obtained using the V_{max} estimator (Schmidt 1968), which gives the space-density contribution of individual objects. The sample has been corrected both for AGN X-ray luminosity incompleteness and for mass incompleteness. The latter is due to the fact that given a certain L_X -cut/flux limit, an AGN with low Eddington ratio is included in the AGN sample only if its M_{BH} is massive (and thus if it is hosted by a massive galaxy). To correct for such mass incompleteness, the authors assume an Eddington ratio λ distribution described

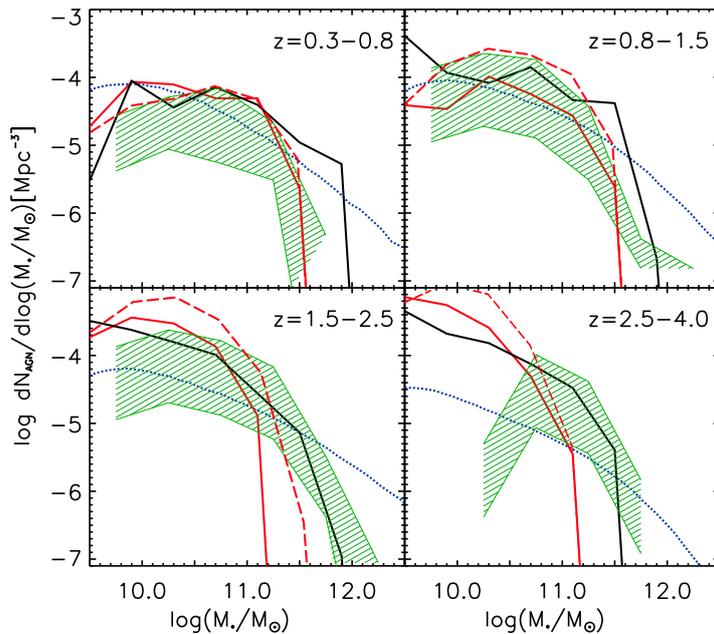


Figure 1. Host stellar mass function for AGN with bolometric luminosity $L_X > 10^{43} \text{ erg s}^{-1}$, for four different redshift bins. For the IT scenario, the black solid line represents the host stellar mass function predicted by the model; as for the DI scenario, the red solid line represents the predictions for the fiducial case ($\alpha = 10$), while the red dashed line represents the case with the normalization of the accretion rate decreased ($\alpha = 2$). The blue dotted line represents the host stellar mass function estimate from Fiore et al. (2012), as computed by the authors at redshift 0.75, 1.25, 2 and 3.5. The shaded region represents the observational data from Bongiorno et al. (in prep.); the contours of the region are obtained by using different assumptions about the mass incompleteness of the sample. In particular, the upper limit is obtained by assuming an Eddington ratio λ distribution for the sample described by a power-law (as computed in Bongiorno et al. 2012), with a cut-off at $\lambda \sim 1\%$, while the lower limit assumes the same distribution with a cut-off at $\lambda \sim 10\%$.

by a power-law (as computed in Bongiorno et al. 2012), with a cut-off at low Eddington ratio ($\lambda \sim 1\%$ or $\lambda \sim 10\%$, see figure caption). We caution that these data are preliminary and might be subjected to changes (especially concerning the treatment of the mass incompleteness and the assumed Eddington ratio distribution).

We compare also with the empirical estimates of the host stellar mass function from Fiore et al. (2012). The authors firstly convert the X-ray AGN luminosity functions (taken from La Franca et al. 2005 for $z \lesssim 2$ and from their work for $z > 3$) into BH mass functions, using Monte Carlo realizations and assuming a given Eddington ratio distribution. They used log-normal λ distributions (Netzer 2009b, Trakhtenbrot et al. 2011, Shemmer et al. 2004, Netzer & Trakhtenbrot 2007, Willott et al. 2010b), with the position of the peak shifting towards higher values of λ with increasing redshift, ranging from $\lambda \sim 0.03$ at $z < 0.3$ to $\lambda \sim 0.22$ at $z \sim 3 - 4$. The BH mass functions are then converted into host stellar mass functions, using a $M_{BH}-M_*$ relation. They assume $\Gamma_0 = \log(M_{BH}/M_*) = -2.8$ at $z \sim 0$ (Häring & Rix 2004), with a redshift evolution described by $\Gamma = \Gamma_0(1+z)^{0.5}$. At high redshift, since whether a clear distinction between bulge and disk exists or not is still debated (at high redshift disks of galaxies are much more compact and thicker than today spirals), they assume that the SMBH mass is proportional only to half of the total stellar mass of the galaxy, rather than to the total stellar content.

The comparison between the model predictions and the observations indicates that while for galaxies with $M_* \lesssim 10^{11} M_\odot$ both the DI model and IT model are able to account for the observed abundance of AGN hosts, for more massive hosts the DI scenario predicts a much lower space density than the IT model

in every redshift bin, lying below the observational estimates for redshift $z > 0.8$. In the lowest redshift bin, however, DIs are still able to reproduce the observational estimates from Bongiorno et al., apparently providing a better match than the IT scenario.

The fact that DIs predict a lower space density of massive hosts than galaxy interactions can be explained as follows: in our model the most massive galaxies are formed in biased regions of the primordial density field which have already converted most of their gas into stars due to high- z interactions and merging events. The relatively low gas fraction and the large B/T ratio (due to the high rate of interactions experienced) in the most massive hosts suppress the AGN activity predicted by the DI mode: in fact, the mass inflow described by eq. 5 is strongly reduced in case of low disk fractions f_d or in case of low gas supply f_{gas} . Moreover, if galaxies are not disk enough, the Efstathiou criterion (eq. 4) prevents DI from triggering AGN activity. In the highest redshift bin, although more gas is available, the increasing number of interactions predicted by standard SAMs continuously disrupt forming disks, preventing again DI from triggering AGN activity.

However, the comparison with observational data is hampered by the large uncertainties that still affect the host stellar mass function estimates. For instance, the data from Bongiorno et al. are affected by the uncertainties related to the host galaxy stellar mass estimates and by the assumptions concerning the incompleteness function; particularly, the Eddington ratio distribution assumed to derive the mass incompleteness represents a critical issue. Indeed, while our predictions generally show a good agreement with the observational data when they are corrected assuming an Eddington ratio distribution with a $\lambda \sim 1\%$ cut-off (upper limit of the shaded region), they constantly overpredict

the abundance of AGN hosts in the case of a $\lambda \sim 10\%$ cut-off (lower limit of the shaded region). We note also that while the cut-off $\lambda = 10\%$ is very conservative and could be considered as a good lower limit for the AGN host mass function, the upper limit of the shaded region shown in fig. 1 obtained considering a cut-off of $\lambda \sim 1\%$ could be not as restrictive, as several authors have pointed out a large spread in the Eddington ratio distribution extending also to values lower than $\lambda = 0.01$ (e.g. Shankar 2013, Heckman 2014). Assuming a broader distribution in computing the mass incompleteness - at least for the most massive, passive hosts - would result in higher abundance of massive hosts, a fact that could reduce the mismatch with IT predictions in the lowest redshift bin. The Eddington ratio distribution critically affects also the host mass function estimates from Fiore et al. (2012); the authors note indeed that the normalization at $M_* = 10^{11} M_\odot$ changes by a factor of 15-30 % and $\sim 100\%$ for distribution peak and width differing by 30 % from the assumed ones. At high redshift, also the uncertainties related to the X-ray AGN luminosity function or possible deviations in the SMBH mass-bulge mass relation can affect the host mass function calculations, especially at small masses.

Given such a complex observational situation, more observational data are needed to effectively constrain the two feeding modes through the stellar mass function of AGN host galaxies, and both IT and DI scenarios can still be considered viable candidates for feeding moderately luminous AGN hosted in medium-sized galaxies. Hence, to clarify this issue, we proceed in comparing the two modes with other host galaxy properties.

3.2. Color-magnitude diagram

An useful tool that might allow to discriminate between IT and DI scenario is provided by the color-magnitude diagram (CMD). The galaxy color could reveal much about AGN host galaxy properties: in fact, the position in the CMD depends on the age of stellar population, on its metallicity and on extinction by dust. This in turns relates to the star forming properties of the galaxies and to the galaxy morphology.

In the past years, several studies have shown that the color function of galaxies in the Local Universe is characterized by a bimodal distribution (Baldry et al. 2004): galaxies are inclined to reside in two well defined regions of the CMD, the so called "red sequence" and the "blue cloud". The red sequence consists mainly in early-type, massive, non star-forming galaxies that have already built the larger part of their mass at higher redshifts; on the other hand, blue cloud is characterized by less massive, late-type galaxies that are currently forming stars. Besides these two populations of galaxies, an intermediate one lies in between in the so called "green valley", generally interpreted as a region populated by galaxies that are quenching their star formation.

However, when the AGN hosts are considered, the situation is more complex and many previous studies have often come to contrasting conclusions with respect to AGN distribution in the CMD. Nandra et al. (2007) investigated the positions of X-ray AGN hosts in the optical CMD at $z \sim 1$ and found an overdensity of AGN in the luminous galaxies close to and within the green valley. Similar results were found by Coil et al. (2009) for a larger sample of X-ray AGN, and the same trend appears to hold at lower redshifts (Hickox et al. 2009; Georgakakis & Nandra 2011). However, Silverman et al. (2009) and Xue et al. (2010) have shown the importance of stellar-mass selection effects in such analyses, indicating that stellar mass, not color, may be the key parameter that drives the observed trends in the CMD. Xue et al. (2010) found that X-ray AGN are distributed over the full

range of colors in stellar mass-matched samples with no specific clustering in the CMD in the redshift range $z=1-4$. On contrast, an enhancement of X-ray selected AGN in galaxies with blue or green optical colors was found by Aird et al. (2012) even considering stellar-mass dependent selection effects. Cardamone et al. (2010) also studied the relation of AGN host galaxy masses and colors; they found that the colors of AGN host galaxies of a given stellar mass followed the same bimodality as the non-AGN galaxy population after correcting for the effects of dust. Finally, Bongiorno et al. (2012) notice that, besides dust extinction, also AGN emission might affect AGN rest-frame optical colors: if not subtracted properly, the AGN component could be responsible for a significant blue contamination. They studied the distribution in the CMD of a sample of X-ray selected AGN from XMM-COSMOS field (Cappelluti et al 2009, Hasinger et al. 2007) and purely optically selected AGN from zCOSMOS bright survey (Lilly et al. 2009) and found a marginal enhancement of the incidence of AGN in redder galaxies, once the colour-mass degeneracy in well-defined mass-matched samples is accounted for. They argued that this result might emerge because of their ability to properly account for AGN light contamination and dust extinction, compared to surveys with a more limited multiwavelength coverage.

In figure 2 we show the predicted U-B rest-frame colors versus B-band absolute magnitude for galaxies hosting AGN with X-ray luminosity $L_X > 10^{42.2} \text{ erg s}^{-1}$ in three different redshift bins, both for DI and IT modes. The model predictions are compared with data from Bongiorno et al. (2012). In the lowest redshift bin, we compare also with data from Aird et al. (2012), who considered a sample of X-ray selected AGN from the XMM-COSMOS, XMM-LSS (Ueda et al. 2008) and XMM-ELAIS-S1 (Puccetti et al. 2006) fields with luminosities in the range $10^{42} < L_X < 10^{44} \text{ erg s}^{-1}$ at $0.4 < z < 0.7$. We caution that the observational data from Aird et al. have been converted to $U - B$ and M_B colors using a filter conversion provided by Blanton et al. (2007), a procedure that might have introduced some further uncertainties in the distribution of AGN hosts in the CMD.

DI and IT modes produce very different distributions in the CMD, especially at low redshift. In the DI scenario, all redshift bins are characterized by AGN host galaxy colors skewed towards bluer colors; on the contrary, in the IT scenario the majority of host galaxies at low redshift reside in the red sequence, gradually populating the blue cloud at higher redshifts. These behaviours can be explained taking into account the different physical conditions that trigger AGN activity in the two modes. DI mode requires galaxies to be disk and gas rich (that is, highly star-forming galaxies characterized by young stellar populations); indeed, the Efstathiou criterion for DI triggering is satisfied only by those galaxies with a marked disk component, while the net mass inflow onto the central BH (eq. 5) and AGN activity are strongly suppressed in case of lack of gas or with bulge-dominated galaxies. On the other hand, interaction-driven AGN triggering is less affected by morphology requirements and can occur also in "old" ellipticals or bulge-dominated galaxies where SF is quenched or has begun to diminish. Moreover, for the DI scenario the high ratio $SFR_{b,D}/\dot{M}_{BH}$ expressed by eq. 10 (which is substantially higher than the ratio $SFR_{b,I}/\dot{M}_{BH}$ for the IT scenario described by eq. 9) always guarantees a significant burst of SF during the AGN phase, even for the less luminous AGN, which adds to the already large quiescent SF and might contribute to move AGN hosts even further to the blue region. In this respect, we note that in the case of $\alpha = 2$ for the DI scenario, having decreased the normalization of the mass inflow, we have diminished also the value of the $SFR_{b,D}$ associated with AGN

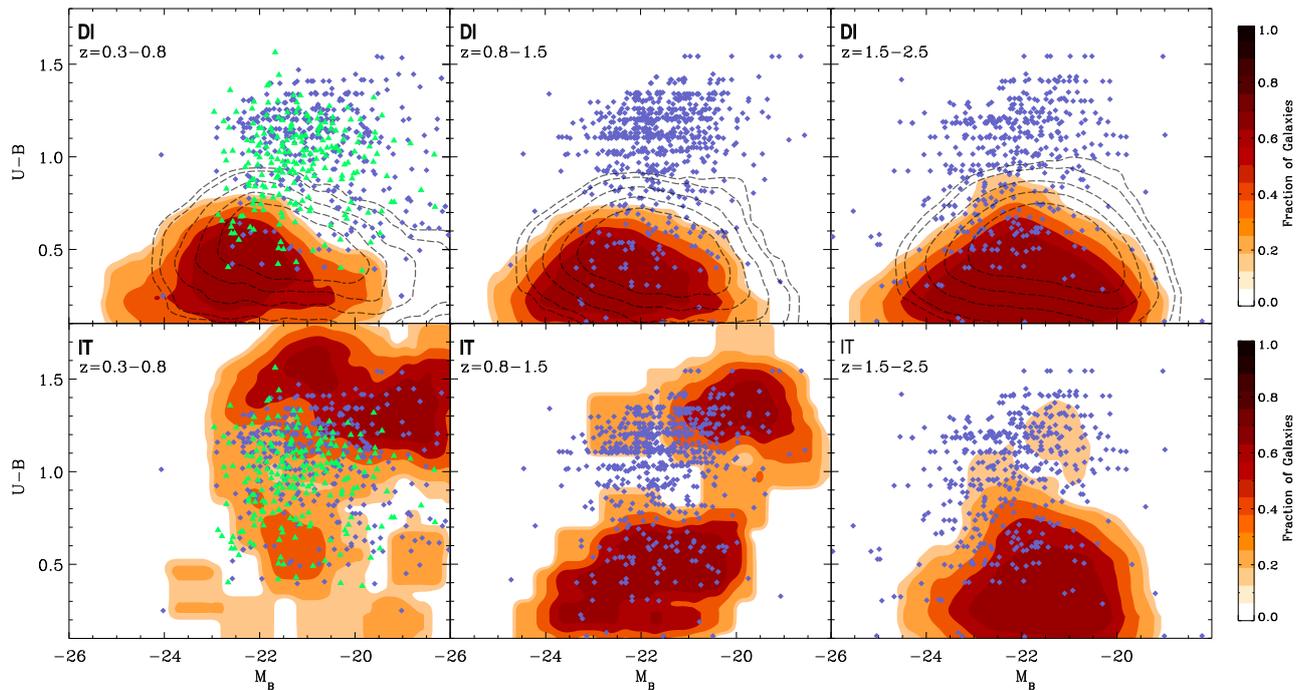


Figure 2. Predicted CMD diagrams for galaxies hosting AGN with X-ray luminosity $L_X > 10^{42.2}$ erg s $^{-1}$ on three redshift bins are compared with the data from the COSMOS catalogue (Bongiorno et al. 2012, diamonds) and from Aird et al. 2012 (green triangles). Top panels refer to the DI model, with the predictions for fiducial case ($\alpha = 10$) represented by the solid contour plot, while the grey dashed contour plot refers to the case $\alpha = 2$. Bottom panels refer to the IT scenario.

activity (again due to the proportionality between the BH accretion rate and burst SFR described by eq. 10): indeed, the case of $\alpha = 2$ produces slightly less luminous and less blue host colors than the fiducial case, due to a less prominent SF activity. In the highest redshift bin, the tendency of IT mode to occupy also the blue cloud might be due to the higher fraction of gas available in the host galaxies at those redshifts, and thus due to a general enhancement of star formation.

In general, the IT scenario is quite in agreement with observational data, while DI mode is not able to populate enough the red sequence, showing a substantial mismatch. The mismatch is milder in the case of $\alpha = 2$, with DIs still being able to reproduce a substantial fraction of AGN, mainly residing in the blue cloud. We caution that some of the predicted features (such as the over prediction of red hosts in the lowest redshift bin for the IT mode or the extremely blue hosts in the DI scenario) might be alleviated by a better treatment of colors estimates and dust extinction by our model. Furthermore, we should take into account also possible non-optimal AGN-galaxy decompositions (or AGN over-subtractions) and possible dependence on the specific choice of stellar population templates used to obtain the observational data. As for dust extinction, we note that in fig. 2 we have compared with data not corrected for dust extinction, while Bongiorno et al. (2012) provide in their paper also the CMD for AGN hosts once they have been de-reddened with the extinction derived from their SED fit. If we consider these latter data (lower panel of their fig. 11), a substantial fraction of AGN hosts moves to the blue cloud; on the other hand, the effects of dust extinction on the predictions of our SAM are milder. The discrepancy between the effects of the dust extinction correction of our model and correction of the data is particular noticeable in the highest redshift bin, and might explain why the match between

the IT predictions and data becomes poorer in such a redshift range. Similarly, the presence of the two well defined clouds in the CMD at redshift $z=0.8-1.5$ for the IT scenario (representing a transient between the distributions at $z = 0.3-0.8$ and $z=1.5-2.5$), which are not shown by observational data, might be attributed to the uncertainties aforementioned (concerning both the observational data and our predictions).

Even if the observational situation is still too uncertain to use the CMD to accurately assess the relative role of the two modes in reproducing AGN host colors, the predictions of our model strongly disfavor DIs as the dominant accretion mode for AGN hosted in red galaxies. Moreover, since the two scenarios show very different color distributions (especially in the lowest redshift bin), future and unbiased studies on the AGN host galaxy color might be useful to further constrain the regimes in which the two modes might operate.

The differences in the predicted CMD distributions suggest that also differences in typical AGN host galaxy star formation rates could be found: even though CMD cannot be trusted as a good tracer for star formation (e. g. Rosario et al. 2013b), the substantial lack of "red" AGN host galaxies in the case of DI mode might indicate also a lack of passive host galaxies, which could be not the case for the IT mode. Thus, in the next section, we proceed in testing our AGN triggering modes against host galaxy star formation activity.

3.3. The starburstiness- M_* relation

Hosts star formation activity can be effectively studied by using the scaling relation connecting SFR and galaxy stellar mass. Indeed, normal star-forming galaxies have been re-

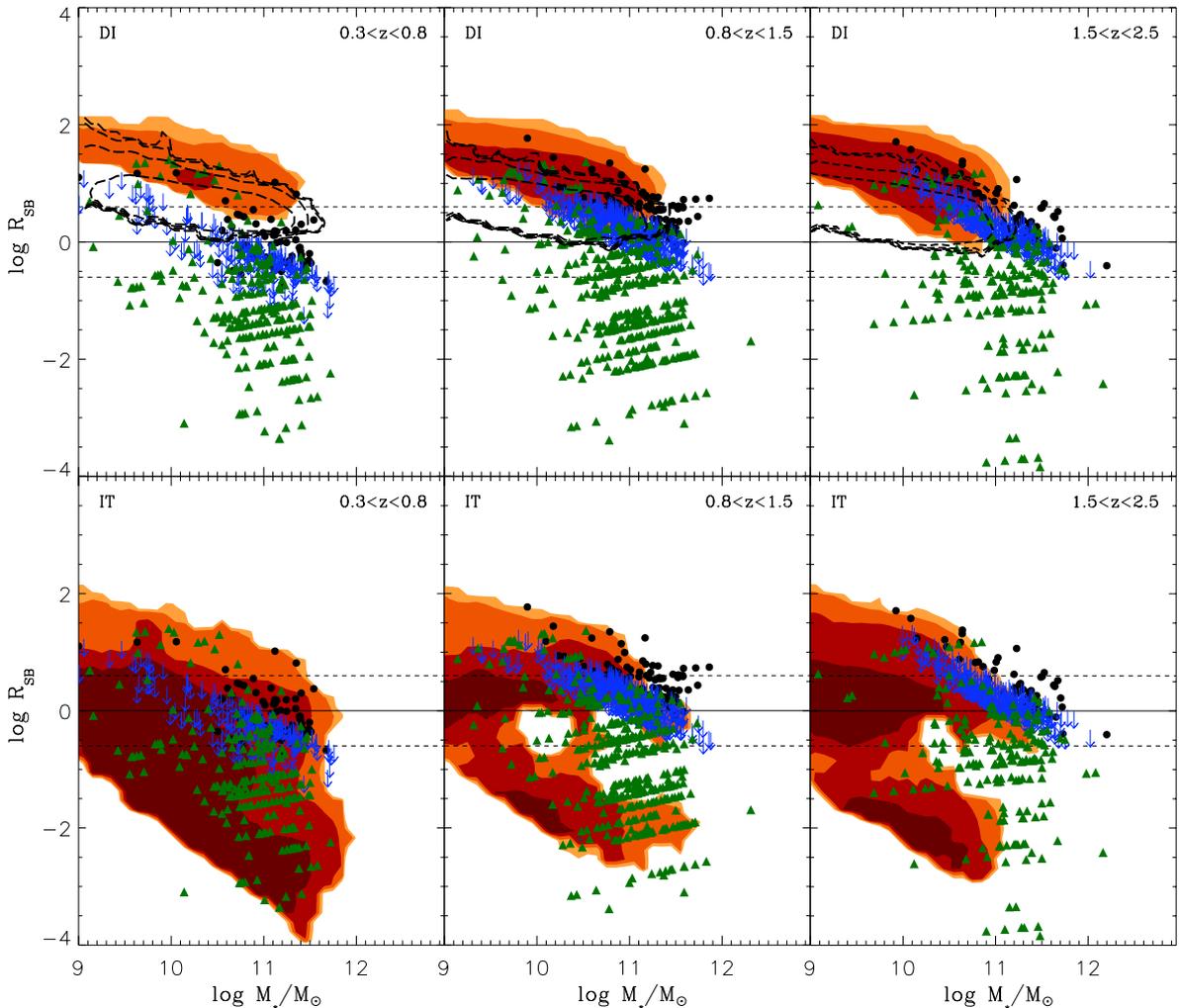


Figure 3. AGN host galaxy starburstiness R_{SB} as a function of M_* for DI scenario (upper panels, solid contours for the fiducial model and black dashed contours for the case with $\alpha = 2$) and IT scenario (lower panels) in three different redshift bins. Only AGN with luminosity $L_X > 10^{42.2}$ erg s^{-1} are considered. The filled contours correspond to equally spaced values of the density (per Mpc^3) of model AGN in logarithmic scale: from 10^{-7} for the lightest filled region to 10^{-4} for the darkest. The data points indicate the XMM-COSMOS AGN with $L_X > 10^{42.2}$ erg s^{-1} . Circles and arrows indicate AGN with SFR derived from L_{FIR} , while triangles indicate AGN with SFR derived from SED fitting. Solid lines show the position of the galaxy main sequence, while dashed lines denote the limits of the starburst and passive regions, defined as $R_{SB} > 4$ and $R_{SB} < 1/4$, respectively.

cently found to lie along a "main sequence", characterized by a typical redshift-dependent value of the specific star formation rate ($SSFR \equiv SFR/M_*$) with a small scatter (~ 0.3 dex) (Brinchmann et al. 2004, Noeske et al. 2007, Elbaz et al. 2007; Daddi et al. 2007, 2009, Santini et al. 2009, Salim et al. 2007, Stark et al. 2009, Gonzlez et al. 2011, Rodighiero et al. 2011, 2014). Starburst galaxies are characterized by higher SSFR values, lying above the main sequence, while passive galaxies populate the region well below the main sequence.

In figure 3 we show the starburstiness parameter (R_{SB}) as a function of stellar mass for galaxies hosting AGN with luminosity $L_X > 10^{42.2}$ erg s^{-1} in three different redshift bin, both for IT and DI scenarios. The starburstiness parameter is defined as $R_{SB} \equiv SSFR/SSFR_{MS}$, where the subscript MS indicates the typical value for main sequence galaxies; it measures the excess or deficiency in SSFR of a star forming galaxy in terms of its distance from the galaxy main sequence. We plot the starburstiness rather than the $SSFR$ because of the well-known fact that hierar-

chical clustering models, while they succeed in reproducing the slope and the scatter of the $SSFR - M_*$ relation, under-predict its normalization (Daddi et al. 2007; Dav 2008; Fontanot et al. 2009; Damen et al. 2009; Santini et al. 2009; Weinmann et al. 2011; Lamastra et al. 2013a). Using the starburstiness, both the model predictions and observational data are normalized to their MS values, and the problem concerning the different normalization of SFR_{MS} is thus avoided. For the observational data, we used the best-fit of the galaxy main sequences obtained by Santini et al. (2009) in similar redshift intervals, while for model galaxies we derived in each redshift bin the predicted galaxy main sequence following the procedure described in Lamastra et al. (2013b).

In our previous paper (Lamastra et al. 2013b) we studied the distribution in the $R_{SB} - M_*$ diagram of galaxies hosting AGN with high luminosities ($L_X > 10^{44}$ erg s^{-1}) only for the IT model. We found that the starburst region ($R_{SB} > 4$ Rodighiero et al. 2011; Sargent et al. 2012) is populated by AGN host galaxies

dominated by the burst component of star formation ($SFR_{b,I} > SFR_q$), while the MS ($1/4 < R_{SB} < 4$) is equally populated by AGN hosts where the quiescent SF dominates ($SFR_q > SFR_{b,I}$) or where the burst SF dominates ($SFR_{b,I} > SFR_q$). We also found that host galaxies dominated by burst SF do not occupy only the region above the main sequence, but they reside also below, in the passive region ($R_{SB} < 1/4$). This is because the passive region is populated by massive galaxies, formed in biased region of the primordial density field which have already converted most of their gas into stars due to high- z interactions and merging events: since the small amount of gas left in such massive galaxies, quiescent SF is strongly quenched and burst SF dominates.

Here, we extend the analysis of Lamastra et al (2013b) to the class of less luminous AGN ($L_X < 10^{44}$ erg s $^{-1}$) and also to the case of AGN triggered by DIs. We note the DI scenario is characterized by a different distribution with respect to the IT mode: indeed, AGN host galaxies populate mainly the starburst region and the upper part of the main sequence, and they are constrained to a tight region. Moreover, they completely lack in populating the passive region. This does not change even if we consider the case with lowered normalization ($\alpha = 2$): AGN hosts are now shifted towards lower values of the starburstiness parameter (due to a less prominent SF activity), but they still do not populate the passive region, residing mainly in the main sequence.

The explanation of this different behaviour strictly follows the one given for the CMD. In the DI scenario, AGN are found in disky, gas rich, late-type galaxies and the high values of $SFR_{b,D}$ associated with AGN activity ($SFR_{b,D} = 100\dot{M}_{BH}$) confines the majority of them in the upper part of the plot, in the starburst region and in the main sequence. The lack of AGN hosts in the lower part of the plot, instead, points out a relevant piece of information: even if CMD cannot be considered always a good tracer of SF activity, the lack of AGN in the red sequence of the CMD noted above really means that DI mode is not able to trigger AGN activity in passive non star forming hosts.

We compare our predictions with a sample of X-ray selected AGN from the XMM-Newton survey of the COSMOS field (Scoville et al. 2007, Cappelluti et al. 2009, Brusa et al. 2010). The stellar masses of the XMM-COSMOS AGN are derived by Santini et al. (2012) and Bongiorno et al. (2012) by fitting the observed SEDs with a two component model based on a combination of AGN and host galaxy templates. Their SFRs are derived both from SED fitting technique (only for the obscured AGN subsample) and from their far-infrared emission, as described in detail in Lamastra et al. 2013b. In all redshift bins, while both IT and DI modes could be responsible for hosts observed in the main sequence or in the starburst region, DIs cannot reproduce hosts in the passive region, where the majority of AGN are found.

Given this picture, we can try to assess whether the lack of DI-driven AGN in the passive region might be alleviated by varying the values of the two main tunable quantities of the HQ11 model (α , $SFR_{b,D}$) or if it constitutes a robust feature of the DI scenario implemented in our SAM. We stress that the values of these two quantities *are not completely freely tunable*, but can vary only within specific ranges (as explained in Sect. 2.1 and 2.2) owing to some uncertainties of the HQ11 model.

First of all, we can study the effect of assuming a different value for the $SFR_{b,D}$ associated with AGN activity in the DI scenario: indeed, a lower value for the ratio $SFR_{b,D}/\dot{M}_{BH}$ would move AGN hosts towards lower values of the starburstiness parameter. We remind that the value of burst star formation

$SFR_{b,D} = 100\dot{M}_{BH}$ follows from the HQ11 model; this ratio is also in agreement with the observational results from Silverman et al. (2009), which have studied the correlation between \dot{M}_* and \dot{M}_{BH} in galaxies hosting an AGN in isolated (non-merging) systems, and with that resulting from simulations (Hopkins & Quataert 2010, Bournaud et al. 2011). Actually, as explained in Sect. 2.2, the value $SFR_{b,D} = 100\dot{M}_{BH}$ already represents a lower limit for the burst SF within the assumptions of the HQ11 model, obtained considering the contribution to the star formation rate only from the inner region of the galaxy where the BH dominates the potential. If we took also the outer region into account, we would obtain larger values of the $SFR_{b,D}$, moving AGN hosts even more deeply in the starburst region and worsening the comparison with data. To be more quantitative, if we considered our fiducial model and fixed the value of the ratio $SFR_{b,D}/\dot{M}_{BH} = 10^3$ (similar to the value of the local $M_{bulge} - M_{BH}$ relation), the position of AGN hosts in the $R_{SB} - M_*$ plane would be shifted upwards by 1 dex; as a consequence, all AGN hosts would reside in the starburst region, and the match with observational data would become extremely poor.

On the other hand, we could try to decrease the absolute value of the $SFR_{b,D}$ by lowering even more the normalization of the mass inflow predicted by the HQ11 model, by changing the parameter α in eq. 5. As we recalled in Section 2.1, the constant α parametrizes several uncertainties related to some of the basic assumptions of the HQ11 model, and typical values of α are in range of $\alpha = 0.1 \sim 5$. The two cases with $\alpha = 10$ (the fiducial case) and $\alpha = 2$ shown in fig. 3 should already span all the possible reasonable values of the normalization of the inflow, since a lower normalization would drastically affect the AGN luminosity function, with DIs not being able to reproduce it in almost any acceptable range of redshift and luminosity. Nevertheless, even if we took $\alpha = 0.1$, the $SFR_{b,D}$ would be diminished only by two order of magnitude compared to the fiducial case, clearly not enough to move DI AGN deeply in the passive region.

Finally, it is important to stress that even if we took $SFR_{b,D} = 0$, we would not be able to move AGN hosts below the main sequence, since the quiescent component of star formation (eq. 8) in AGN triggered by disk instabilities would begin to dominate the total star formation of the host galaxies. In fact, the criterion for the onset of disk instabilities (eq. 4) implies that DI-driven AGN are activated only in disky and gas rich galaxies where SFR_q is large. Since galaxies dominated by the quiescent component of star formation populate the galaxy main sequence (Lamastra et al. 2013a), the SSFR of AGN hosts would not be able to reach values lower than $SSFR_{MS}$. This implies that the *lack of AGN in the passive region for the DI scenario is quite insensitive to the details of the HQ11 model for the mass inflow and the assumptions made to compute the star formation associated with the AGN activity*; it rather depends on the criterion for the onset of disk instabilities, which prevents AGN activity from being triggered in bulge dominated, passive galaxies.

To further investigate the different distributions associated with the two modes, we have also studied the typical paths followed by AGN hosts in the $SSFR - M_*$ plane. In figure 4 we show a few selected paths followed by galaxies hosting AGN that at redshift $z = 0.5$ are found to reside in the starburst region (defined as $SSFR > 4SSFR_{MS}$). The left panel refers to the IT scenario, while the right panel to the fiducial case for the DI scenario. Two of the selected AGN are characterized by very similar luminosities ($\text{Log } L_X = 44.9$ for the IT-driven AGN, and $\text{Log } L_X = 44.6$ for the DI-driven AGN represented by the right path in the right panel), while the other path refers to a DI AGN host characterized by a lower AGN luminosity and

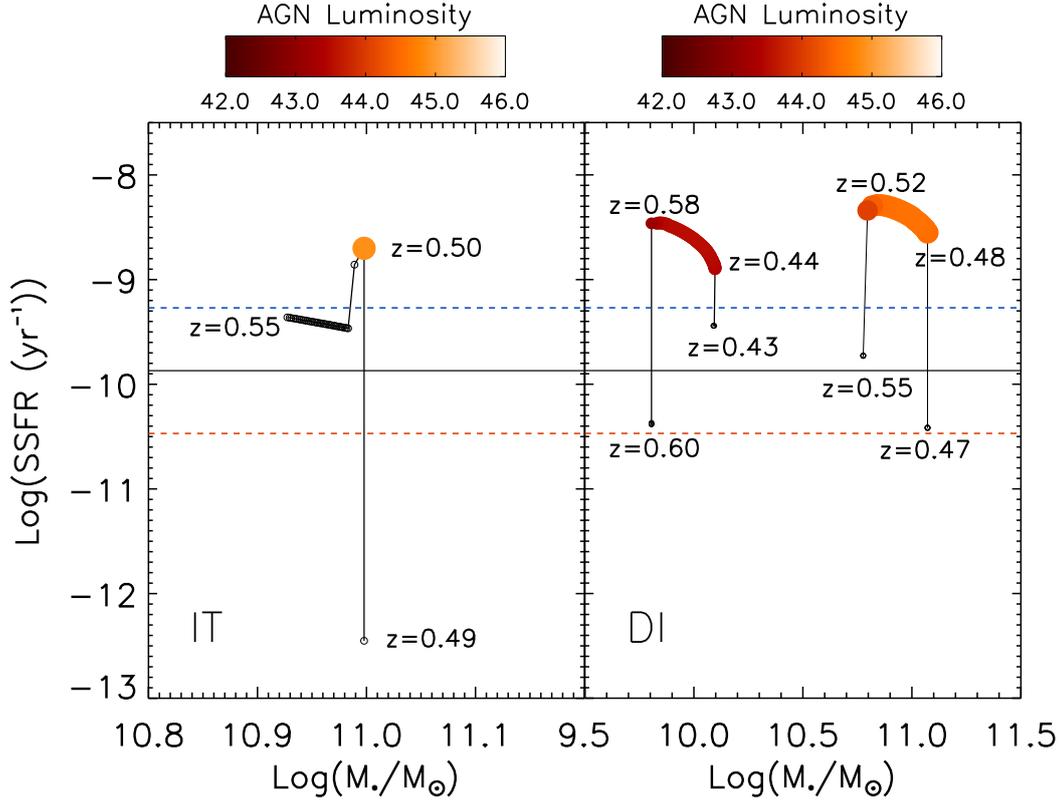


Figure 4. Path followed by typical AGN hosts in the $SSFR - M_*$ plane. The left panel refers to the IT scenario, while the right panels to the DI mode. The main sequence computed from the model is represented by the black line (computed in the redshift range $0.5 < z < 0.8$), while the blue and the red dotted lines mark the separation between the main sequence and the starburst/passive region. The position of AGN hosts is represented by a circle; if the circle is black, no AGN activity is present in the host, or AGN luminosity is below the threshold ($L_X > 10^{42}$ erg s^{-1}). On the other hand, AGN activity above the threshold is represented by a colored circle (the color code is shown above each plot, and the AGN luminosity refers to X-ray luminosity in the 2-10 keV band). We have also shown near some circles the corresponding redshift; the hosts have been selected at redshift $z = 0.5$. At $z = 0.5$, AGN are respectively characterized by luminosities $\text{Log } L_X = 44.9$ (left panel), $\text{Log } L_X = 44.6$ (right path, right panel) and $\text{Log } L_X = 43.3$ (left path, right panel).

an higher duty cycle. The main sequence computed from the model is represented by the black line (computed in the redshift range $0.5 < z < 0.8$), while the blue and the red dotted lines mark the separation between the main sequence and the starburst/passive region. We show the paths starting from their position in the main sequence, following the evolution of AGN hosts down to low redshifts, in the right part of the plot (corresponding to higher stellar mass content). For each timestep, the host position in the plot is represented by a circle, while its evolution is connected by a black line.

The paths can provide several insights about the differences between the two feeding modes. Firstly, one of the differences that can be inferred from the plots concerns the AGN feedback. In the IT scenario, AGN feedback is very efficient in removing the gas from the host, quenching star formation and preventing further AGN activity (left panel of fig. 4). As a result, the SSFR of the host galaxy drops by several orders of magnitude, and the galaxy moves to the passive region. In case of disk instability, instead, AGN feedback is less efficient: since DIs activate only in disk and gas rich galaxies, it is more difficult for the blast wave to expel all the gas content M_c out from the galaxy, and a substantial star formation activity remains even after the end of the AGN burst phase.

Secondly, another striking difference between the two feeding modes that can be inferred from figure 4 concerns the AGN duty cycle. In the IT scenario, the duration of AGN phase is imposed by the duration of the galaxies interaction and typical values are in the range $\sim 10^7 - 10^8$ yr (see, e.g. Shankar et al. 2009). For the DI scenario, on the contrary, AGN activity can last for a longer period of time (see left path of the right panel of fig. 4, where AGN shines on time scale \sim Gyr), up to ten times more than IT mode. In this case, there is no specific limit for the activity duration imposed by external encounters: if the conditions for triggering AGN activity are satisfied by the host, AGN can shine for a long time. This result seems to be supported also by recent simulations: for instance, Bournaud et al. (2011), studying disk galaxies at $z \sim 2$ with aimed and isolated galaxy simulations, find that DIs are able to funnel half the gas content of galaxy onto the central BH in ~ 2 Gyrs, leading to inflows similar to those obtained during major mergers, but spread over longer period of time.

Finally, we note that for the DI scenario lowering the normalization of the accretion rate described by eq. 5 has as a consequence a general lengthening of the AGN duty cycle, and with respect to the fiducial case ($\alpha = 10$), there is a substantial increment of the number of AGN that can shine for few Gyrs (espe-

cially those with low luminosities). This is due to the reduced value of $SFR_{b,D}$ associated with AGN activity: since the new stars produced during the burst phase eventually contributes to the stability of the disk, reducing the value of $SFR_{b,D}$ means that it will take more time for the new stars to stabilize the disk. This leads to an over production of very faint AGN for the model with $\alpha = 2$. This problem might be solved by including in the SAM new and more detailed conditions concerning the stability criterion for the onset of disk instabilities, or by better modelling the physics of the burst SF related to AGN activity. This issue, however, goes beyond the aims of this paper.

3.4. The $SFR - L_{BOL}$ relation

The correlation between AGN luminosity and the host star formation rate could provide useful hints concerning AGN triggering mechanisms. However, the majority of observational studies that have tried to assess such a correlation have revealed a complex situation, mainly due to observational bias and/or AGN variability (Hickox et al. 2014, Chen et al. 2013). In the local Universe, Netzer et al. (2009) found a strong correlation between the luminosity at $60 \mu\text{m}$ (where the far-infrared emission from cold dust heated by the UV radiation of massive young stars has its peak) and the AGN luminosity, for optically-selected AGN over more than five orders of magnitude in luminosity. According to Rosario et al. (2012), the luminosity at $60 \mu\text{m}$ is correlated to the AGN luminosity for AGN with X-ray luminosities $L_X \gtrsim 10^{43} \text{ erg s}^{-1}$ at $z < 1$, while no correlation is found for AGN of the same luminosity at higher redshift and for lower luminosity AGN. Also Rovilos et al. (2012) found no significant correlation in low luminosity AGN with $L_X < 10^{43.5} \text{ erg s}^{-1}$ at $z < 1$; rather, they found a substantial correlation for higher X-ray luminosities at $z > 1$. On the contrary, Mullaney et al. (2012a) found no evidence of any correlation between the X-ray and infrared luminosities of AGN with $L_X = 10^{42} - 10^{44} \text{ erg s}^{-1}$ up to $z = 3$. However, the correlation arises at $z = 1-2$ when stacked X-ray emission of undetected sources is taken into account (Mullaney et al. 2012b). Similarly, Azadi et al. (2014) found no evidence of correlation between SFR and the instantaneous AGN X-ray luminosity for AGN with $L_X = 10^{41} - 10^{44} \text{ erg s}^{-1}$ at $0.2 < z < 1.2$, but they found a weak correlation when the mean L_X of detected AGN is considered.

In fig. 5 we show the $SFR - L_{bol}$ relation predicted by the DI (upper panels) and IT scenario (lower panels) in three different redshift bins. The relation between SFR and L_{bol} predicted by the IT scenario has been already presented and discussed in Lamastra et al. (2013b). In this framework, since the accretion rate onto the BH is correlated only to the burst mode of star formation (eq. 9) we found a strong correlation between SFR and L_{bol} for luminous AGN (where the contribution of the $SFR_{b,I}$ dominates the total SFR), and a more scattered relation (≈ 3 orders of magnitude) for the less luminous sources owing to the larger contribution of the quiescent component of star formation (eq. 8) to the total SFR.

On contrast, the DI scenario predicts a very tight $SFR - L_{bol}$ relation at each AGN luminosity. These different behaviours are in agreement with our previous results. In fact, in the DI scenario, since the majority of AGN are found to reside in the starburst region and in the upper part of the galaxy MS, the total star formation of the host galaxies is not dominated by the quiescent component (Lamastra et al. 2013a,b). Although in the DI scenario there is an additional star formation component not related to the AGN activity (eq. 9) the strong burst of star formation related to the BH accretion (eq 10) results in a $SFR - L_{bol}$ rela-

tion with a small intrinsic scatter. The relation shows a slightly greater scatter (but still lower than the IT scenario) if we consider the prediction for the DI scenario with lowered normalization of the mass inflow ($\alpha = 2$): in this case AGN hosts reside mainly in the SF main sequence, and the contribution of the quiescent component to the total SFR increases, broadening the relation.

Since the tightness of the $SFR - L_{bol}$ relation for the DI scenario constitutes the most striking difference between the two modes, as we did in the previous section we can try to assess the robustness of this result with respect to changes of the two parameters of the HQ11 model (α , $SFR_{b,D}$) or its dependence on the Efstathiou criterion. For instance, diminishing the ratio $SFR_{b,D}/\dot{M}_{BH}$ or assuming lower values for the normalization of the mass inflow would reflect in a decrement of the contribution of the $SFR_{b,D}$ to the total SFR; as a consequence, the $SFR - L_{bol}$ relation would be characterized by a larger scatter, reaching lower values of the SFR. However, since we have already noted that in the HQ11 model the ratio $SFR_{b,D}/\dot{M}_{BH} = 100$ and the value $\alpha = 2$ represent lower limits for the burst SF and for the normalization of the mass inflow, the tightness of the relation for the DI scenario seems to be a robust result, within the uncertainties of the HQ11 model. The Efstathiou criterion here has a little role in determining the shape and the tightness of the relation; since it implies that DI-driven AGN are activated only in disk and gas rich galaxies where SFR_q is large, its main effect on the relation is to prevent AGN hosts to populate the lower part of the plot, characterized by low SFR values. Ultimately, we note also that assuming a time delay between the peak of $SFR_{b,D}$ and the AGN activity would not appreciably alter the scatter of the relation for the DI scenario. This is because the typical time delays inferred from observations (Davies et al. 2007, Wild et al. 2010) and N-body simulations (Hopkins et al. 2012) are small (of the order of $\sim 10^7$ yr on pc scales and $\sim 10^8$ yr on kpc scales) if compared to the typical lifetime of an AGN in the DI scenario (up to \sim Gyr, see Sect. 3.3). As a consequence, the time interval where eq. 10 would not be valid would be very small, and the majority of AGN hosts would remain tightly constrained in the plot.

We compare our predictions with the observational data from Rosario et al. (2012) in the same redshift bins. The data points do not represent single sources but rather mean trends, obtained combining fluxes from detections and stacks of undetected sources in the Herschel-PACS bands for a sample of X-ray selected AGN. We obtain SFRs from rest frame luminosities at $60 \mu\text{m}$, following the procedure described in Lamastra et al. (2013b). We compare also with observational data from Azadi et al. (2014) in similar redshift bins, who studied a sample of low-intermediate luminosity non-broad line AGN from the PRIMUS spectroscopic redshift survey (Coil et al. 2011; Cool et al. 2013). For these data, we show both the individual data points of the sample and the points representing median SFRs in different luminosity bins. X-ray luminosities have been converted to bolometric luminosities using the bolometric correction by Marconi et al. (2004). Finally, for the lowest redshift bin, we plot the observed relation for local optically-selected AGN (Netzer 2009).

Both IT and DI modes are in reasonable agreement with data points from Rosario et al. (2012), while the DI scenario is slightly above the observational relation from Netzer et al. (2009) at low redshift; DIs are also slightly above the median points from Azadi et al., even though they are able to reproduce a substantial fraction of the observed AGN hosts. Unfortunately, at present the observational situation is still too uncertain, due to observational bias, instrumental limitation in sensibility and

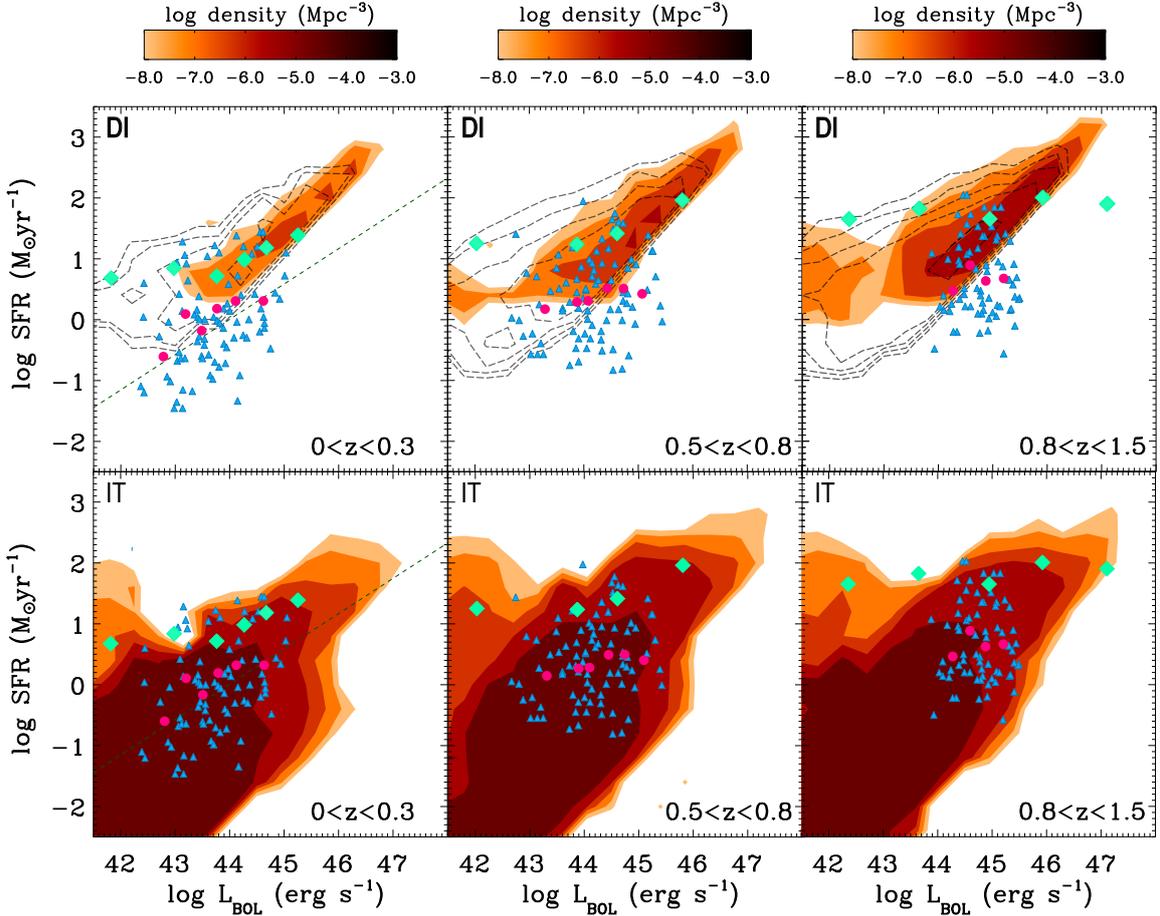


Figure 5. SFR versus L_{bol} for three different redshift bins. The upper panels refer to the DI scenario, while the lower to the IT mode. Contour plot represents AGN number density (per Mpc^3) as predicted by the model. The predictions for the case $\alpha = 2$ for the DI scenario are represented by the dashed contour plot. The dashed line is the relation obtained by Netzer (2009); pale-blue diamonds are the observational results from Rosario et al. (2012). Blue triangles are data points from Azadi et al. (2014), with purple circles representing median SFR values in different luminosity bins.

sample selection effects, to use the $SFR - L_{bol}$ relation and its scatter to effectively discriminate between the two modes. Moreover, we note also that in our SAM we have not included any analytical prescription accounting for AGN variability (considered instead one of the possible causes responsible for hiding the connection between AGN luminosity and star formation activity), which might affect the scatter for the two scenarios, further broadening the predicted relations.

Anyway, since the relations predicted by the model are quite different for the two scenarios especially at low-intermediate AGN luminosities, we stress that the scatter of $SFR - L_{bol}$ relation could represent a crucial diagnostic, and future observations might be able to effectively pin down the dominant AGN accretion mode.

3.5. Environmental dependence: AGN in groups and clusters

Finally, we study the fraction of active galaxies in groups and clusters. Indeed, we expect a different environmental dependence for the two modes. As concerns IT mode, interactions should be enhanced in denser environment, since galaxies are supposed to be closer to one another, leading to more frequent merging or fly-by events with respect to what happens in the field. The opposite should concern DIs. In fact, we have already

stressed that they can occur only in disky, gas-rich galaxies, characterized by young stellar population; this is at odds with the typical galaxies found in groups or clusters, where the frequent interactions at high redshifts have led to a population of red, bulge-dominated and passive galaxies.

In fig. 6 we show the predicted fraction of AGN in groups (left panel) and clusters (right panel). Our predictions are compared with data from Pentericci et al. (2013); here the authors, besides showing their data for a number of groups and small clusters, provide a wide collection of data from the literature, both for groups and massive clusters. To be consistent with observational selection criteria, we consider AGN with luminosity $L_X > 10^{42}$ erg s^{-1} , hosted by galaxies brighter than $M_R < -20$. The classification in groups and clusters of the observational data we compare with is based on the value of dispersion velocity σ , with groups and small clusters with $350 < \sigma < 700$ km s^{-1} , while the most massive clusters being characterized by $\sigma > 700$ km s^{-1} . However, in our SAM we have considered as groups those structures with mass in range $10^{13.5} < M < 10^{14.3} M_\odot$, while as clusters those with mass $M > 10^{14.3} M_\odot$. We note that selecting structures according to their mass makes little difference with data: in fact, the mass of groups or small clusters with $\sigma < 700$ km s^{-1} selected by Pentericci et al. are of the order of 0.5 to few times $10^{14} M_\odot$ (see also Salimbeni et al. 2009). The predictions for the two modes are showed as shaded regions

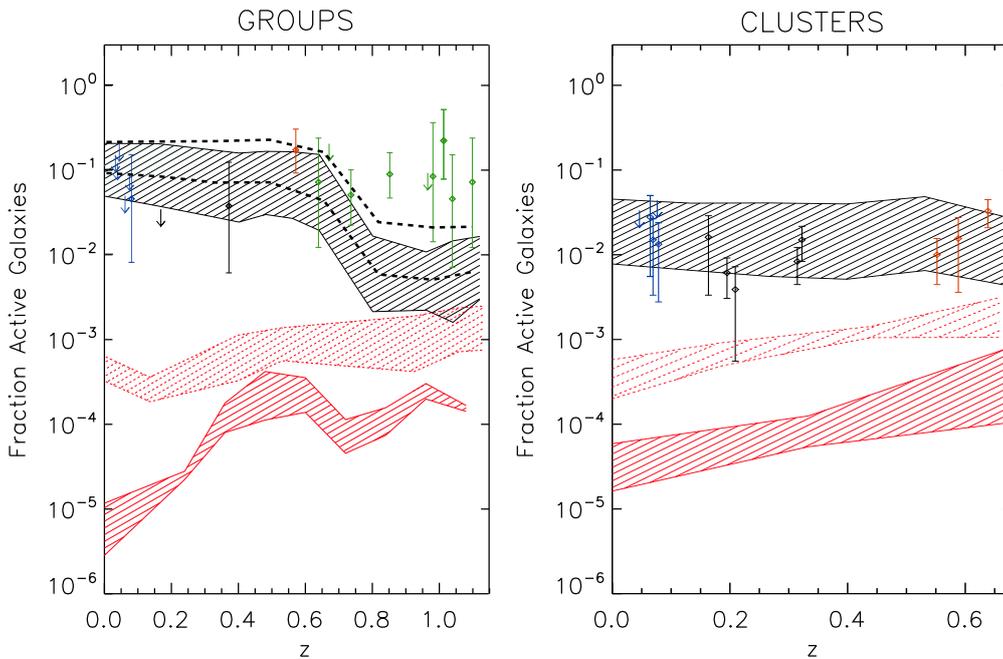


Figure 6. Predicted AGN fraction in groups ($10^{13.5} < M < 10^{14.3} M_{\odot}$, left panel) and clusters ($M > 10^{14.5} M_{\odot}$, right panel) for AGN $L_X > 10^{42}$ erg s^{-1} , hosted by galaxies brighter than $M_R < -20$. The predictions of our model are represented by shaded regions: black for IT and red for the fiducial case for DI. The shaded region with red-dashed lines represents the prediction for the DI scenario with decreased normalization $\alpha = 2$, while the two black-dashed lines in the groups plot represent the limits of the prediction for the IT scenario obtained with a slightly brighter threshold for galaxies ($M_R < -21$, see text for more details). Green symbols are data from Pentericci et al. (2013), red symbol from Eastman et al. (2007), black symbols from Martini et al. (2009) and blue symbols from Arnold et al. (2009). Uncertainties and upper limits are computed by using the low number statistics estimator (at 1σ) by Gehrels (1986).

(see the figure caption for more details), up to redshift $z \sim 1$ for groups and $z \sim 0.7$ for clusters. To select AGN only with magnitude $M_R < -20$, we have made use both of the magnitude provided by our SAM, obtained by convolving galaxies SF history with spectral energy distribution from stellar population synthesis models, and of an observational magnitude-to-galaxy mass relation. The latter observational relation (Grazian et al., in prep.) has been fitted with a straight line, and the contours of the shaded regions represent the upper and the lower limits of the fit.

As expected, the fraction of AGN predicted in the DI scenario is lower than in IT mode. Interestingly, we note that in the range of redshift where the two plot overlaps ($z \lesssim 0.6$) the fraction of AGN predicted by IT in clusters is slightly lower than in groups; as pointed out by Pentericci et al., this is due to the higher velocity dispersion in clusters, which cause interactions to be less effective (this can be noted from eq. 2, where an increase of the relative velocity V_{rel} diminishes the fraction of gas destabilized during the interaction). As for the DI scenario, the case with $\alpha = 2$ predicts a greater abundance of AGN with respect to the fiducial case ($\alpha = 10$): this is mainly due to the lengthening of AGN duty cycle, particularly effective here since we are considering also AGN with low luminosity ($\log L_X \sim 42$). By comparing our predictions with data, we note that only the IT scenario is able to reproduce the observed AGN fraction (even though with a little scatter in groups at high redshift), while DIs constantly predict an AGN abundance smaller than the observed one, even by several orders of magnitude.

Regarding the discrepancy in groups at high redshift for the IT scenario, a possible explanation could be represented by the known problem of semi-analytic models that tend to over esti-

mate the number of galaxies at the faint end of the luminosity function. This problem has been already discussed in Pentericci et al. (2013) and in Salimbeni et al (2008) and it is observed at the magnitude limit used in this study ($M_R = -20$); reducing the number density of faint objects would result in an higher AGN fraction, which might alleviate the observed discrepancy. To comparison purposes, we have also plotted in fig. 6 the AGN fraction for the IT scenario obtained selecting slightly brighter objects ($M_R < -21$), a procedure that causes AGN fraction to increase (even if it is still not enough to match the observational data).

A detailed investigation of all the observational biases affecting the measure of AGN fraction in groups or clusters goes beyond the aims of this work. Indeed, that measure might be affected by several uncertainties: e.g., the uncertainties related to the estimate of masses or dispersion velocities and the relative classification in groups or clusters, the test used to assess the virialized status of structures or the diagnostic used for selecting AGN. In this respect, the discrepancy at high redshift between the IT scenario and observational data should not be considered as conclusive, and more data are needed before considering the predictions for the IT scenario incorrect. Here, the important point to stress is that fig. 6 clearly shows that DIs are not able to reproduce the observed AGN fraction in groups or clusters (respectively for $z \lesssim 1$ and $z \lesssim 0.7$), even accounting for all the possible uncertainties related to the observational estimates. This is a natural consequence of the results obtained in the previous sections. DI mode is indeed able to trigger AGN activity only in a very specific class of objects, which must be gas-rich, medium-sized, actively star-forming disk galaxies; these are likely to be

found in the field rather than in groups or clusters. On the contrary, IT mode does not have all these restrictions and thus it is the only mode able to effectively trigger AGN activity in denser environments.

4. Conclusions

Using a state-of-the-art semi analytic model (SAM) for galaxy formation (M14), we have investigated the statistical effects of different AGN triggering mechanisms on the properties of AGN host galaxies. In particular, we have studied two different AGN feeding modes: a first one where AGN activity is triggered by disk instabilities (DI) in isolated galaxies and a second one where AGN activity is driven by galaxy interactions (IT). We have investigated the effects of the two scenarios separately, focusing on the properties of AGN host galaxies and on the AGN environmental dependence, to single out the regimes in which they might be responsible for triggering AGN activity. We obtained the following results:

- both the DI model and IT model are able to account for the observed abundance of AGN host galaxies with $M_* \lesssim 10^{11} M_\odot$. For more massive hosts the DI scenario predicts a much lower space density than the IT model in every redshift bin, lying below the observational estimates for redshift $z > 0.8$. This is because in hierarchical clustering scenario the most massive galaxies are characterized by low gas fraction and large B/T ratio, which strongly suppress the BH mass inflow predicted by the DI scenario. However, even if the predictions of the two scenario concerning the abundance of the massive hosts are quite different, the observational estimates of the host galaxy stellar mass function are still affected by several uncertainties to effectively regard this test as conclusive.

- The analysis of the color-magnitude diagram (CMD) of AGN hosts at $z < 1.5$ can provide a good observational test to effectively discriminate between DI and IT mode: indeed, in this redshift range the model predictions for the distributions in the CMD are considerably different for the two scenarios. While DIs are expected to yield AGN host galaxy colors skewed towards bluer colors, in the IT scenario the majority of hosts at low redshift reside in the red sequence, gradually populating the blue cloud at higher redshifts $1.5 < z < 2.5$. This is because DI occurs only in disk and gas-rich galaxies, which are actively forming stars, while interactions can occur also in "old" red passive galaxies. Moreover, in the DI scenario the large burst of SF associated with the AGN activity further contributes to move DI hosts to the blue cloud. This result fosters future unbiased studies of the distribution of AGN hosts in the CMD diagram.

- The DI scenario predicts that the distribution of AGN hosts in the starburstiness ($SSFR/SSFR_{MS}$) - M_* plane is restricted in a tight region between the upper part of the main sequence (MS) and the starburst region ($SSFR/SSFR_{MS} > 4$, Rodighiero et al. 2011, Sargent et al. 2013, Lemastra et al. 2013a), whereas the IT scenario predicts AGN hosts also in the passive region, well below the MS ($SSFR/SSFR_{MS} < 1/4$). Thus DI scenario misses all the AGN hosted in passive galaxies, which constitute a relevant fraction of the observed AGN. This result does not depend either on the particular model assumed to describe the mass inflow or on the value of SF burst associated with AGN activity: in fact, even if we ignored the burst SF ($SFR_{b,D}$) predicted by the HQ11 model and considered only the quiescent SF of AGN hosts, we would not be able to populate the passive region below the main sequence. This is due to the Efstathiou criterion for the onset of disk instabilities (eq. 4), which implies

that DI-driven AGN could be activated only in disk and gas rich galaxies where SFR_q is large. Since galaxies dominated by the quiescent component of star formation populate the galaxy main sequence (Lemastra et al. 2013a), any assumptions about a possible additional contribution to the total SFR induced by AGN activity will only cause AGN to move towards the starburst region, rather than towards the passive region. Hence, in our model the lack of AGN in the passive region for the DI scenario is quite insensitive to the particular model for the mass inflow chosen or the assumptions made to compute the star formation associated with the AGN activity; it rather depends on the criterion for the onset of disk instabilities which prevents AGN activity from being triggered in bulge dominated, passive galaxies.

- In the DI scenario AGN activity can last for a much longer period of time (even on time scale \sim Gyr) compared to the IT mode, where the galaxies interaction sets the duration of the burst phase around $\sim 10^7 - 10^8$ yr. This result is in agreement with those obtained in recent simulations (Bournaud et al 2011), where disk instabilities and interactions trigger similar mass inflows but with the former spread over periods longer up to ten times more than in IT mode. Also the response of host galaxies to AGN feedback is different: while in the IT scenario AGN feedback is very efficient in removing the gas from the host and thus quenching star formation and preventing further AGN activity, in the case of disk instabilities AGN feedback is less effective. AGN hosts are so disk and gas rich that AGN feedback is not able to efficiently eject the gas content of the disk, so that a significant amount of star formation activity remains even after the end of the AGN burst phase.

- The scatter of the $SFR - L_{bol}$ relation could represent a crucial diagnostics to discriminate the different AGN triggering modes. In fact, DI scenario predicts a lower scatter (especially at low-intermediate AGN luminosities) of the relation than the IT scenario. However, the observational relation is still too uncertain to effectively be used to pin down the AGN triggering mechanism.

- As for the environmental dependence, disk instabilities are not able to account for the observed fraction of AGN in groups (with dispersion velocity $350 < \sigma < 700$ km s $^{-1}$) for $z \lesssim 1$ and clusters ($\sigma > 700$ km s $^{-1}$) for $z \lesssim 0.7$. This is because in the DI scenario AGN activity is triggered only in a very specific class of objects, which must be gas-rich, medium-sized, actively star-forming disk galaxies; these are likely to be found in the field rather than in groups or clusters. On the contrary, in the IT mode AGN activity is enhanced in denser environment due to the more frequent interactions, producing a good match with observational data.

The results of this work constrain the regimes in which DIs might be responsible for triggering AGN activity, being able to provide the accretion rate needed to feed low-intermediate luminosity AGN, hosted in medium-sized, actively star forming, blue, field galaxies. Despite the present uncertainties in the observational results still critically affect many of the observable we have compared with, the picture arisen from our analysis strongly disfavour DIs as the main trigger mechanism for AGN activity in red, passive galaxies.

If we want to make a step forward in our understanding of AGN triggering mechanisms, future systematic studies of the properties (color, SFR, M_*) of AGN host galaxies are clearly needed. Nonetheless, also a better (and more physically justified) modelling of the DI scenario might be valuable, as it would strengthen our results, leading to new insights concerning the differences with the IT scenario. In this respect, we believe that

the main point that should be improved in our treatment of DIs is constituted by the triggering criterion. The Efstathiou criterion, although largely used in SAMs, is only a criterion for the global stability of the disk and might not be as successful in predicting the wide range of morphologies that a perturbed disk might assume. We remind that our treatment of DIs *does need* a triggering criterion, since the equation for the mass inflow used in this paper (eq. 5) holds only in the case of perturbed systems. Indeed, the HQ11 model describes the mass inflow onto the SMBH using the linear perturbation theory and under the hypothesis of an initial non axisymmetric perturbation of the system. This is done by considering a perturbative potential $\Phi_a = \Phi_0$, where Φ_0 is the axisymmetric unperturbed potential of the system. We have adopted the value of $a=0.3$ for the perturbation amplitude (see Menci et al. 2014 for all the explicit calculations), which actually constitutes an upper limit to values measured in simulations (which range from 10^{-2} to 310^{-1} , Hopkins & Quataert 2010). This implies that eq. 5 could be applied only to *significantly perturbed* systems, and this is why we have to include the Efstathiou stability criterion, which ensures the galaxy disk to be perturbed. Given this picture, a possible improvement of the model could consist in including no triggering condition, but rather using only eq. 5 once having released the hypothesis of $a=0.3$ and having considered the full range of possible perturbation amplitudes (and possibly also different kind of perturbative potentials). This could represent an extremely intriguing improvement of the model, but it could be done only considering a detailed dynamical theory able to provide the correct perturbative amplitude (and potential) for any galaxy of the SAM. We put off such a complex task to future works.

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